

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Shuichi FURUYA et al.

Title:

NITROGEN-CONTAINING HETEROCYCLIC COMPOUNDS,

THEIR PRODUCTION AND USE

Prior Appl. No.: 09/711,139

Prior Appl. Filing Date: 11/14/2000

Examiner:

Unassigned

Art Unit:

Unassigned

CONTINUING PATENT APPLICATION TRANSMITTAL LETTER

Commissioner for Patents **Box PATENT APPLICATION** Washington, D.C. 20231

Sir:

| Transmitted | herewith | for filing | under 3 | 37 (| C.F.R. | § 1 | .53(b) | IS i | 1. |
|-------------|----------|------------|---------|------|--------|------------|--------|------|----|
| | | | | | | | | | |

| Continuation [X] Division [] Co | Continuation-In-Part (CIP) |
|---------------------------------|----------------------------|
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of the above-identified copending prior application in which no patenting, abandonment, or termination of proceedings has occurred. Priority to the above-identified prior application is hereby claimed under 35 U.S.C. § 120 for this continuing application. The entire disclosure of the above-identified prior application is considered as being part of the disclosure of the accompanying continuing application and is hereby incorporated by reference therein.

| [] | Applicant claims small entity status under 37 CFR 1.2 | 27. |
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Enclosed are:

| X] | Specification, | Claim(s), | and | Abstract | (146 | pages |). |
|------------|----------------|-----------|-----|----------|------|-------|----|
| | | | | | | | |

Informal drawings (??? sheets, Figures 1-???).

Copy of Declaration and Power of Attorney (2 pages). [X]

Copy of Assignment of the invention to TAKEDA CHEMICAL [X] INDUSTRIES, LTD..

Assignment Recordation Cover Sheet. []



- [] Small Entity statement.
- [] Request for application not to be published with certification under 35 USC 122(b)(2)(B)(i).
- Information Disclosure Statement.
- [] Form PTO-1449 with copies of ??? listed reference(s).
- [X] Preliminary Amendment.
- [X] Application Data Sheet (37 CFR 1.76).

The filing fee is calculated below:

| | Claims as Filed | | Included in Basic Fee | | Extra Claims | Rate | | Rate | | | Fee Totals |
|-------------------|--------------------|-------|-----------------------------|-------|-----------------|------|------------|------|------------|--|---------------|
| Basic Fee | | - | | | | | \$740.00 | | \$740.00 | | |
| Total Claims: | 39 | - | 20 | = | 19 | × | \$18.00 | = | \$342.00 | | |
| Independents: | 2 | | 3 | _ = | 0 | × | \$84.00 | = | \$0.00 | | |
| If any Multiple (| Dependent C | laim(| s) presen | t: | | + | \$280.00 | = | \$0.00 | | |
| | | | • | | | | SUBTOTAL: | = | \$1082.00 | | |
| [] | Small | Entir | ty Fees | Apply | / (subtrac | ct ½ | of above): | = | \$0.00 | | |
| | | | • | | TOT | AL F | ILING FEE: | = | \$1,082.00 | | |
| | | | | | | ٦ | OTAL FEE | = | \$1,082.00 | | |

- [X] A check in the amount of \$1,1082.00 to cover the filing fee is enclosed.
- [] The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
- [X] The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

Date January 11, 2002

FOLEY & LARDNER

Customer Number: 22428

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PATENT TRADEMARK OFFICE

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